For each condensate-producing HVAC component, install a corrosion-resistant drain pan under the equipment.

- Install a secondary drain pan of corrosion-resistant galvanized steel or durable plastic under HVAC equipment that has an evaporator coil.
- Install auxiliary drain lines and drain pans as required by code.

See the Compliance Tab for related codes and standards requirements and criteria to meet national programs such as DOE’s Zero Energy Ready Home program, ENERGY STAR Certified Homes, and Indoor airPLUS.
Description

As air passes through the cold evaporator coil of an air conditioner or heat pump, its temperature is lowered below the saturation point. The moisture condenses out and falls as liquid into a collector positioned under the coil. This collector is a gutter-like device or shallow pan, which manufacturers call the primary condensate drain pan or just the condensate pan. The objective of the condensate drain pan is to thoroughly collect and then direct the condensate water to a condensate drain (Figure 1). While older HVAC systems used steel pans, today the major equipment makers use different plastic materials to build a smooth-surfaced, rust-proof condensate pan. To assist drainage and prevent pooling, some manufacturers slope the base of the pan toward the drain openings (KC Hart and Company).

![Figure 1](Image courtesy of Calcs-Plus)

As the pan fills, it must continually drain to prevent it from overflowing and causing damage to the equipment and to the surroundings. Clogged drain lines and overflowing drain pans from equipment located in attics, basements, and closets can go undetected long enough to cause severe water damage in ceilings, floors, and walls. Poorly draining pans can collect stagnant water that can become a breeding ground for algae and bacteria (see KC Hart and Company). The drain pan should be checked periodically to look for stagnant water, blocked drain lines, overflowing pans, or corroded and leaking pans (Figure 2).

![Figure 2](Image courtesy of Calcs-Plus)

The International Residential Code requires that evaporator coils have a secondary drain pan if blockage of the drain pipe and overflow of the primary drain pan would cause damage to building components. (See the Compliance tab for detailed requirements.) The second drain pan should discharge to a conspicuous spot to alert occupants in the event of blockage of the drain pipe. For cooling systems installed in the attic, some installers will have this secondary drain discharge over a window so that it is more noticeable if it should start dripping. No trap should be installed on the auxiliary pan drain pipe. A separate overflow drain line should also be connected to the primary condensate pan, which is connected to a higher fitting on the primary condensate pan, should also drain to a conspicuous spot. Code requires that an additional auxiliary drain pan without a separate drain line be installed under the coils on which condensation will occur. This pan should be equipped with a water-level detection device (also called a float switch) that will shut off the equipment if the pan is in danger of overflowing (Figure 3). The primary drain line should also be equipped with a water-level detection device that will shut off the equipment in the event that the primary drain is blocked. Code requires that a water-level detection device should also be installed on equipment that is not required to have a secondary or auxiliary drain pan.
Although not required by codes, such water-level detection devices can also be equipped with an alarm to alert occupants that the equipment has been shut off due to high water levels in the drain pan.

Emergency pans should be made of a rust-resistant material such as plastic or galvanized steel. They should extend beyond the air handler or coil box by 3 inches or more on all sides. If the coil is attached to a high-efficiency furnace without condensate protection, this should be taken into consideration when sizing and placing a pan under the equipment. The condensate produced by the furnace can damage the building if it overflows during the heating season. The IMC/IRC requires the auxiliary pan to be a minimum of 1½ inches deep. See the Compliance tab for more details on code requirements for drain pans and drain pipes.

**Blow-Through and Draw-Through Systems**

The evaporators of air conditioners and the indoor coils of heat pumps are installed so that a blower either pushes or pulls air over their cooling surfaces. When air is pushed across, putting the coil under positive pressure, it is considered a blow-through or positive pressure system. When air is pulled across the coil, it is a draw-through or negative pressure system. Many air handlers used with heat pumps or with modern AC cooling-only systems are draw-through systems. Blow-through systems are common when cooling coils are attached to a gas or oil furnace, as most furnace makers call for cooling coils to be placed downstream of the heat exchanger. Unless the furnace is listed and labeled safe to be downstream of a cooling coil (which is rare), passing cooled air over the heat exchanger will result in extensive corrosion of the furnace, premature failure of the heat exchanger, and moisture damage to the surroundings (KC Hart and Company).

**Trapping**

The drain line should be equipped with a trap, a u-shaped bend in the pipe that is either factory-made or site fashioned and has a minimum drop of 2 inches from the pan, plus 2 inches more for the trap seal, and a clean-out with a removable cap (Figure 4). The primary purpose of a condensate trap is to prevent air from moving in or out of the coil box or air handler during operation. Traps must be installed in a manner that will stop the air from passing through, but still allow the condensate to drain from the condensate pan. Failure to install a trap on a blow-through system can reduce system efficiency but in most cases the pan will still drain. A poorly functioning trap on a draw-through system will not only cause system inefficiency because it can pull in hot attic air, but the air being sucked through the drainpipe can prevent the pan from draining, causing it to run over. Without proper trapping, air pulled back into the equipment can lift the water up from the condensate pan and spray it onto nearby components which can cause corrosion of the equipment and if the condensate pan is contaminated, this can also become a health issue. For more on traps please see KC Hart and Company.
Figure 4. The drain pipe should include a trap and a clean-out with a removable cap. (Image Source: KC Hart and Company)

Drain Pipe

Over the years, PVC pipe has become the condensate drain material of choice for residential applications in many areas. The International Residential Code also allows cast iron, galvanized steel, copper, polybutylene, polyethylene, ABS, and CPVC to be used. Although it is rare to see plastic pipe insulated, sometimes it does need to be wrapped close to the equipment where the coolest water is exiting the pan to prevent it from sweating. With metal drains such as copper, failure to insulate the pipe and trap can almost guarantee a complete soaking of the surrounding surfaces from the secondary condensation generated by the cool water flowing through the drain pipe (KC Hart and Company).

According to the International Residential Code, the pipe should not be less than ¾ inches in diameter and should not be decreased in size from its connection at the condensate pan to the point where the condensate water finally clears the pipe. Drains should be installed pitched toward the discharge point. Manufacturers often recommend a drop of ¼-inch per foot; the code allows for 1/8-inch per foot.

Condensate Pumps

A condensate pump is used when a gravity drain is impractical or impossible to install. They are common on some basement-installed systems or where cooling has been added to an existing heating system located within the interior walls of a slab-foundation home. The plumbing from the cooling coil to the condensate pump reservoir or tank should be installed with traps and float switches as if the coil was draining like a typical gravity drain.

With condensate pumps, the cooling coil drains to the pump’s reservoir. As the water level rises, a float switch turns on the pump and water is pumped from the reservoir, usually through flexible plastic tubing, to a safe area. Should the pump fail or should the discharge line become blocked, a second switch will open a low-voltage circuit that shuts down the air conditioner and can even be wired to set off an alarm.

Proper Disposal of Condensate

According to the International Residential Code, “Condensate shall not discharge into a street, alley, or other area where it would cause a nuisance.” With residential properties, it is commonplace to discharge condensate at the exterior of the house, generally near the foundation (Figure 5). Use a splash pan to direct this water away from the foundation.

Follow local codes to determine the acceptability of directing the condensate drains into the domestic plumbing system. If the drain pipe is connected to a shared drainage system, a backflow prevention valve should be included.

Note: Unlike condensate from air conditioners and heat pumps, condensate from condensing furnaces and boilers can be highly acidic. Local code requirements should be followed regarding disposal of the condensate, either to the outdoors or to the sewer (Figure 6), and pretreatment of condensate, if required, before disposal to the sewer.
Figure 5. Condensate from air conditioners can be discharged near the house; use a splash pan to direct the condensate away from the foundation. (Image Source: KC Hart and Company).

Figure 6. The condensate line (amber-colored plastic tube) from the high-efficiency furnace drains into the sewer via the same drain pipe as the clothes washer. (Image courtesy of Douglas Elliott, PNNL).
Ensuring Success

Inspect to ensure that the cooling system has a secondary drain pan under the evaporator, that the system has a secondary drain line, and that the cooling coil is downstream of the heat exchanger in blow-through gas- or oil-furnace-based heating and cooling systems.

Inspect to ensure that the primary and secondary drain connections are made to the two lowest fittings on the air handler or coil box.
Climate

No climate-specific guidance applies.
Training

Right and Wrong Images
None Available
Compliance

The Compliance tab contains both program and code information. Code language is excerpted and summarized below. For exact code language, refer to the applicable code, which may require purchase from the publisher. While we continually update our database, links may have changed since posting. Please contact our webmaster if you find broken links.

ENERGY STAR Certified Homes, Version 3/3.1 (Rev. 09)

Water Management System Builder Requirements

4.6 For each condensate-producing HVAC component, corrosion-resistant drain pan (e.g., galvanized steel, plastic) included that drains to a conspicuous point of disposal in case of blockage. Backflow prevention valve included if connected to a shared drainage system.

Please see the ENERGY STAR Certified Homes Implementation Timeline for the program version and revision currently applicable in in your state.

ACCA Standard 5: HVAC Quality Installation Specification

ANSI/ACCA 5 QI-2015 details nationally recognized criteria for the proper installation of residential and commercial HVAC systems, including forced air furnaces, boilers, air conditioners, and heat pumps. The Standard covers aspects of design, installation, and distribution systems, as well as necessary documentation. The Technician’s Guide for Quality Installation, produced by ACCA, explains the HVAC Quality Installation (QI) Specification and provides detailed procedures for the steps technicians must complete and document to show compliance with the HVAC QI Specification.

ACCA Standard 9: HVAC Quality Installation Verification Protocols

ANSI/ACCA 9 QIVP-2016, specifies the protocols to verify the installation of HVAC systems in accordance with ACCA Standard 5. The protocols provide guidance to contractors, verifiers, and administrators who participate in verification efforts using independent objective and qualified third parties to ensure that an HVAC installation meets the requirements in Standard 5.


M1411.3 Condensate disposal

Condensate from cooling coils and evaporators shall be conveyed from the drain pan outlet to an approved place of disposal. Such piping shall maintain a minimum horizontal slope in the direction of discharge of not less than 1/8 unit vertical in 12 units horizontal (1% slope). Condensate shall not discharge into a street, alley or other area where it would cause a nuisance.

M1411.3.1 Auxiliary and secondary drain systems

In addition to the requirements of Section M1411.3, a secondary drain or auxiliary drain pan shall be required for each cooling or evaporator coil where damage to any building components will occur as a result of overflow from the equipment drain pan or stoppage in the condensate drain piping. Such piping shall maintain a minimum horizontal slope in the direction of discharge of not less than 1/8 unit vertical in 12 units horizontal (1% slope). Drain piping shall be not less than ¾-inch (19mm) nominal pipe size. One of the following methods shall be used:

1. An auxiliary drain pan with a separate drain shall be installed under the coils on which condensation will occur. The auxiliary pan drain shall discharge to a conspicuous point of disposal to alert occupants in the event of a stoppage of the primary drain. The pan shall have a minimum depth of 1.5 inches (38 mm), shall be not less than 3 inches (76 mm) larger than the unit or the coil dimensions in width and length and shall be constructed of corrosion-resistant material. Galvanized sheet steel pans shall have a minimum thickness of not less than 0.0236-inch (0.6010 mm) (No 24 gage). Nonmetallic pans shall have a minimum thickness of not less than 0.0625 inch (1.6 mm).

2. A separate overflow drain line shall be connected to the drain pan installed with the equipment. This overflow drain shall discharge to a conspicuous point of disposal to alert occupants in the event of a stoppage of the primary drain. The overflow drain line shall connect to the drain pan at a higher level than the primary drain connection.

3. An auxiliary drain pan without a separate drain shall be installed under the coils on which condensation will occur. This pan shall be equipped with a water level detection device conforming to UL 508 that will shut off the equipment served prior to overflow of the pan. The pan shall be equipped with a fitting to allow for drainage. The auxiliary drain pan shall be constructed in accordance with item 1 of this section.

4. A water-level detection device conforming to UL 508 shall be installed that will shut off the equipment served in the event that the primary drain is blocked. The device shall be installed in the primary drain line, the overflow drain line, or the equipment-supplied drain pan, located at a point higher than the primary drain line connection and below the overflow rim of such pan.

M1411.3.1.1 Water-Level Monitoring Devices.
On down-flow units and other coils that do not have secondary drain or provisions to install a secondary or auxiliary drain pan, a water-level monitoring device shall be installed inside the primary drain pan. This device shall shut off the equipment served in the event that the primary drain becomes restricted. Devices shall not be installed in the drain line.

M1411.3.2 Drain Pipe Materials and Sizes

Components of the condensate disposal system shall be ABS, cast iron, copper, cross-linked polyethylene, CPVC, galvanized steel, PE-RT, polyethylene, polypropylene or PVC pipe or tubing. Components shall be selected for the pressure and temperature rating of the installation. Joints and connections shall be made in accordance with the applicable provisions of Chapter 30. Condensate waste and drain line size shall be not less than ¾-inch (19 mm) nominal diameter from the drain pan connection to the place of condensate disposal. Where the drain pipes from more than one unit are manifolded together for condensate drainage, the pipe or tubing shall be sized in accordance with an approved method.

M1411.3.3 Drain Line Maintenance [added in 2015 IRC, subsequent sections are renumbered]

Condensate drain lines shall be configured to permit the clearing of blockages and performance of maintenance without requiring the drain line to be cut.

M1411.3.4 Appliances, Equipment, and Insulation in Pans

Where appliances, equipment, or insulation are subject to water damage when auxiliary drain pans fill, those portions of the appliances, equipment, and insulation shall be installed above the flood level rim of the pan. Supports located inside of the pan to support the appliance or equipment shall be water resistant and approved.

M1411.4 Condensate Pumps [added in 2015 IRC]

Condensate pumps located in uninhabitable spaces, such as attics and crawl spaces, shall be connected to the appliance or equipment served such that when the pump fails, the appliance or equipment will be prevented from operating. Pumps shall be installed in accordance with the manufacturer’s instructions.

M1411.5 Auxiliary drain pan

Category IV condensing appliances shall have an auxiliary drain pan where damage to any building component will occur as a result of stoppage in the condensate drainage system. These pans shall be installed in accordance with the applicable provisions of Section M1411.3.

Exception Fuel-fired appliances that automatically shut down operation in the event of a stoppage in the condensate drainage system.


Section N1101.3 (Section N1107.1.1 in 2015 and 2018 IRC). Additions, alterations, renovations, or repairs shall conform to the provisions of this code, without requiring the unaltered portions of the existing building to comply with this code. (See code for additional requirements and exceptions.)

Appendix J regulates the repair, renovation, alteration, and reconstruction of existing buildings and is intended to encourage their continued safe use.
More Info.

Access to some references may require purchase from the publisher. While we continually update our database, links may have changed since posting. Please contact our webmaster if you find broken links.

Case Studies
None Available

References and Resources*

1. 2009 IRC - International Residential Code for One and Two Family Dwellings
   Author(s): International Code Council
   Organization(s): ICC
   Publication Date: January, 2009
   Code for residential buildings that creates minimum regulations for one- and two-family dwellings of three stories or less. It brings together all building, plumbing, mechanical, fuel gas, energy and electrical provisions for one- and two-family residences.

2. 2012 IRC - International Residential Code for One and Two Family Dwellings
   Author(s): International Code Council
   Organization(s): ICC
   Publication Date: January, 2012
   Code for residential buildings that creates minimum regulations for one- and two-family dwellings of three stories or less. It brings together all building, plumbing, mechanical, fuel gas, energy and electrical provisions for one- and two-family residences.

3. 2015 IRC - International Residential Code for One and Two Family Dwellings
   Author(s): International Code Council
   Organization(s): ICC
   Publication Date: May, 2014
   Code for residential buildings that creates minimum regulations for one- and two-family dwellings of three stories or less. It brings together all building, plumbing, mechanical, fuel gas, energy and electrical provisions for one- and two-family residences.

4. 2018 IRC - International Residential Code for One and Two Family Dwellings
   Author(s): International Code Council
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   Publication Date: August, 2017
   Code for residential buildings that creates minimum regulations for one- and two-family dwellings of three stories or less. It brings together all building, plumbing, mechanical, fuel gas, energy and electrical provisions for one- and two-family residences.

5. ACCA Standard 5: HVAC Quality Installation Specification
   Author(s): Air Conditioning Contractors of America
   Organization(s): Air Conditioning Contractors of America
   Publication Date: January, 2015
   Standard providing a universally accepted definition for quality installation for residential and commercial heating, ventilating, and air conditioning applications.

6. ACCA Standard 9: HVAC Quality Installation Verification Protocols
   Publication Date: January, 2016
   Document detailing the requirements, roles, and obligations for participants in an organized effort, ensuring that HVAC installations comply with the ANSI/ACCA 5 QI – 2010 (HVAC Quality Installation Specification) QI Standard.

7. Let’s Concentrate on Condensate
   Author(s): Hart
   Organization(s): ASHI Reporter
   Publication Date: April, 2009
   Article describing how to properly manage condensing HVAC equipment.
*Publication dates are shown for formal documents. Dates are not shown for non-dated media. Access dates for referenced, non-dated media, such as web sites, are shown in the measure guide text.

Contributors to this Guide
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